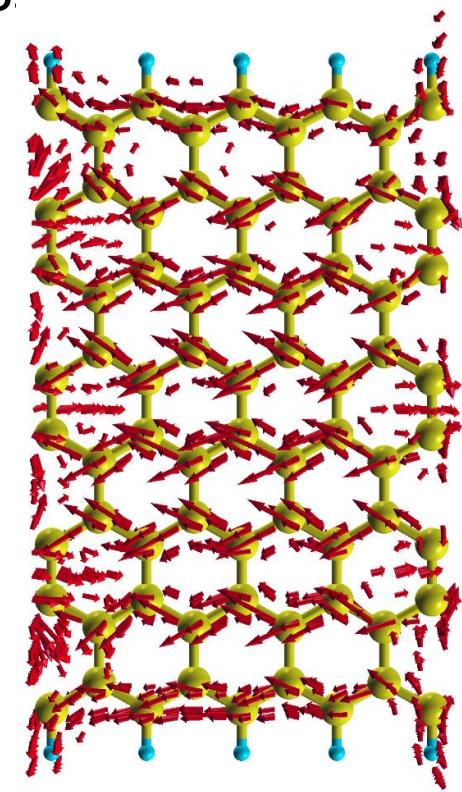
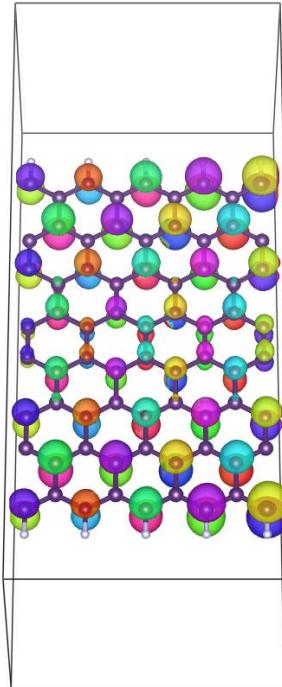


Eigenchannels and Current density analysis

Software Advancement Team, ISSP

Mitsuaki Kawamura

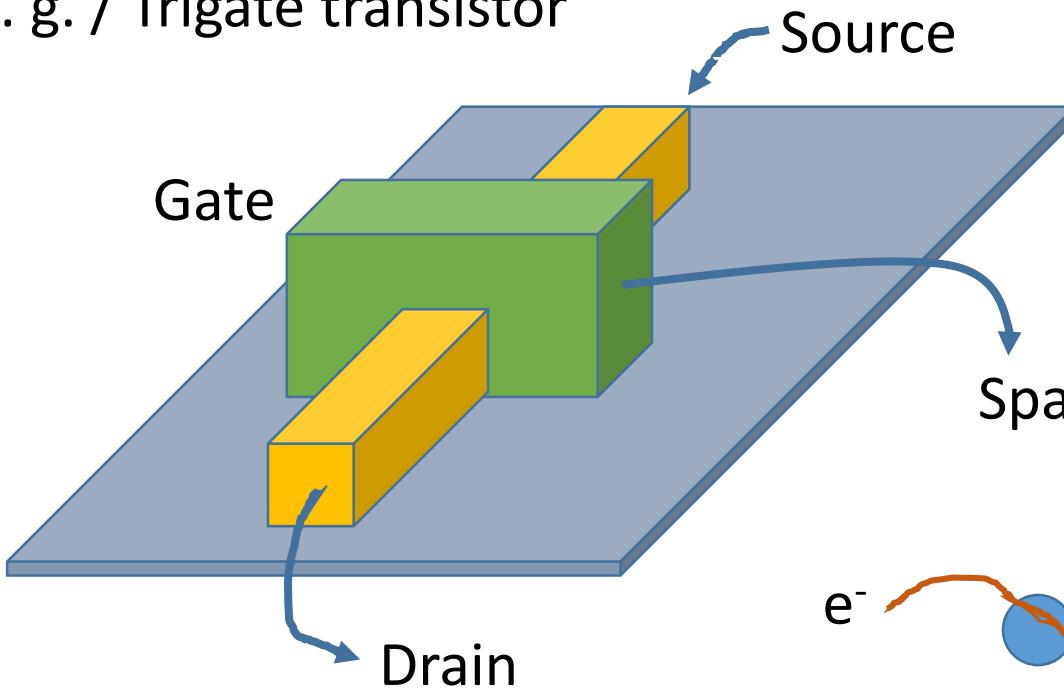


Contents

- Motivation
- Eigenchannels
 - Formalism
 - Implementation
 - Examples
- Current density
 - Formalism
 - Implementation
 - Examples
- Summary

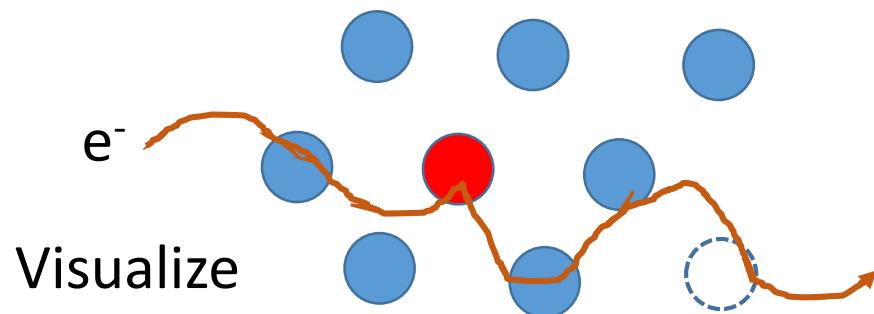
Motivation

E. g. / Trigate transistor



- Transmission
- Total current
- Conductance

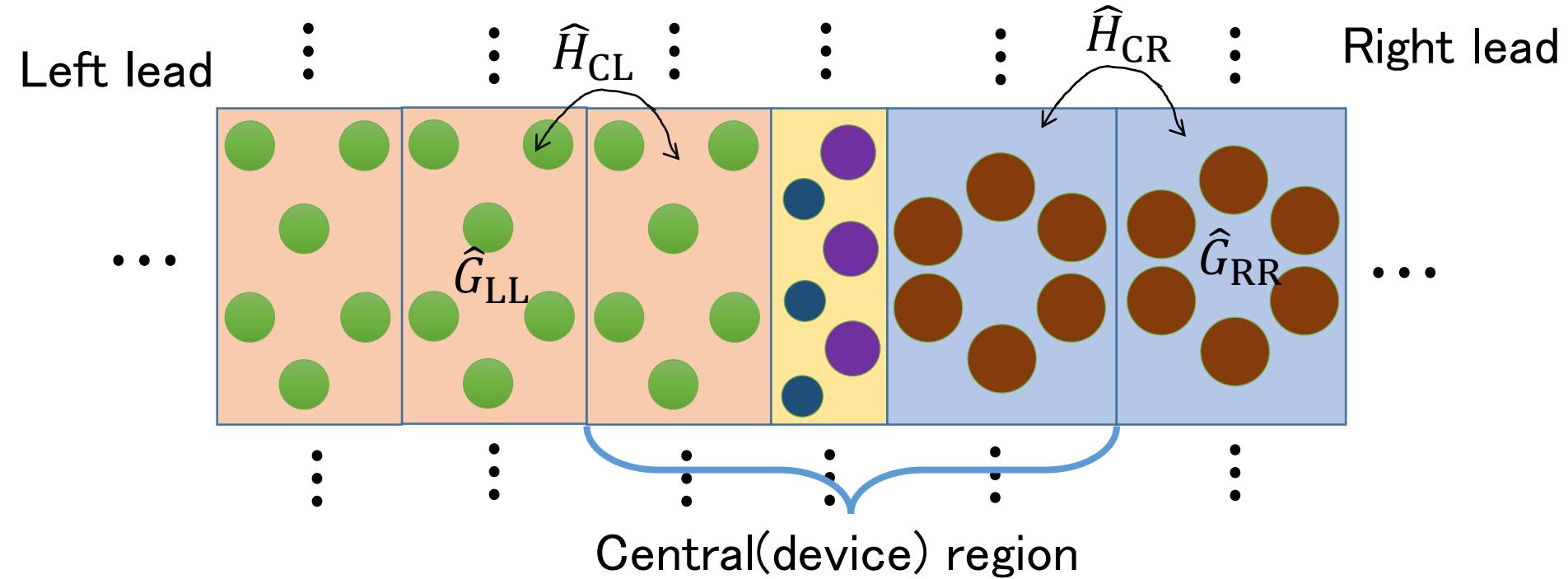
Spatial information



Real-space picture for the conducting phenomena in a nano device

- Eigenchannels
- Real-space current density

[Formalism] System



$$\hat{G}_C(E) = \{E - \hat{H}_C - \hat{\Sigma}_L(E) - \hat{\Sigma}_R(E)\}^{-1}$$

$$\hat{\Sigma}_L(E) = (E - \hat{H}_{CL})\hat{G}_{LL}(E - \hat{H}_{LC})$$

$$J = \int \frac{dE}{2\pi} \text{Tr}[\hat{T}(E)] \{f(E - \mu_L) - f(E - \mu_R)\}$$

$$\hat{T}(E) = \hat{G}_C(E)\hat{\Gamma}_L(E)\hat{G}_C^\dagger(E)\hat{\Gamma}_R(E)$$

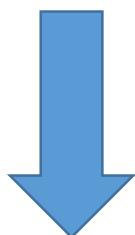
$$\hat{T}(E)|t_i(E)\rangle = t_i(E)|t_i(E)\rangle$$

$$\text{Tr}[\hat{T}(E)] = \sum_i t_i$$

Two steps for diagonalization

M. Paulsson and M. Brandbyge, PRB 76, 115117 (2007).

$$\hat{A}_L \hat{\Gamma}_R |t_i\rangle = t_i |t_i\rangle \quad \begin{matrix} \hat{G}_C \hat{\Gamma}_L \hat{G}_C^\dagger \\ \text{Spectral function} \end{matrix} \quad \begin{matrix} \text{Hermite} \end{matrix}$$



$$\hat{A}_L |a_i\rangle = a_i |a_i\rangle$$

$$\hat{A}_L^{1/2} \equiv (\sqrt{a_1} |a_1\rangle, \dots, \sqrt{a_N} |a_N\rangle)$$

$$\hat{A}_L^{1/2} \hat{A}_L^{1/2\dagger} \hat{\Gamma}_R \hat{A}_L^{1/2} \hat{A}_L^{-1/2} |t_i\rangle = t_i \hat{A}_L^{1/2} \hat{A}_L^{-1/2} |t_i\rangle$$

$$\tilde{\hat{\Gamma}}_R |\tilde{t}_i\rangle = t_i |\tilde{t}_i\rangle$$

In the **real space**

$$|t_i\rangle = \hat{A}_L^{1/2} |\tilde{t}_i\rangle \quad \xrightarrow{\hspace{2cm}}$$

$$t_i(r) = [\chi_1(r), \dots, \chi_N(r)] |t_i\rangle$$

In OpenMX

$$\int d^3r \chi_i(r) \chi_j(r) \neq \delta_{ij}$$

Löwdin orthogonalizations

The Kohn-Sham eqn. in the **non-orthogonal basis space**

$$\hat{H}|\varphi_i\rangle = \varepsilon_i \hat{S}|\varphi_i\rangle$$

$$S_{ij} \equiv \int d^3r \chi_i(r) \chi_j(r)$$

Solve directly **Generalized Eigenvalue Problem**

Löwdin ort.

$$\hat{H} \hat{S}^{-1/2\dagger} \hat{S}^{1/2\dagger} |\varphi_i\rangle = \varepsilon_i \hat{S}^{1/2} \hat{S}^{1/2\dagger} |\varphi_i\rangle$$

$$\hat{S}|s_i\rangle = s_i|s_i\rangle$$

$$\hat{S}^{1/2} \equiv (\sqrt{s_1} |s_1\rangle, \dots, \sqrt{s_N} |s_N\rangle)$$

$$\hat{S}^{-1/2\dagger} \equiv (s_1^{-1/2} |s_1\rangle, \dots, s_N^{-1/2} |s_N\rangle)$$

$$\hat{H}|\tilde{\varphi}_i\rangle = \varepsilon_i |\tilde{\varphi}_i\rangle$$

$$\hat{H} = \hat{S}^{-1/2} \hat{H} \hat{S}^{-1/2\dagger}$$

$$|\varphi_i\rangle = \hat{S}^{-1/2\dagger} |\tilde{\varphi}_i\rangle$$

Others?

Löwdin ort. for \hat{G}_C , $\hat{\Gamma}$, \hat{T} , eigenchannels

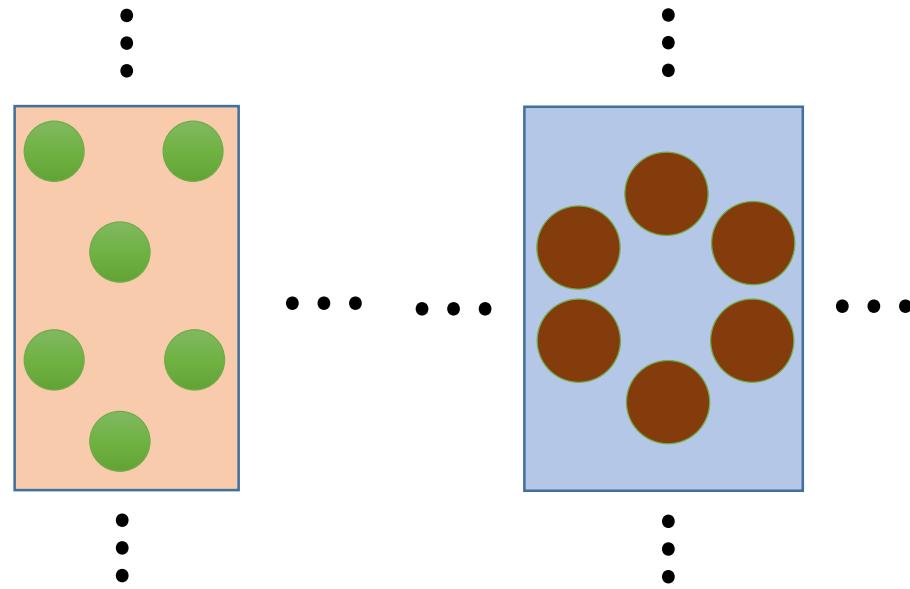
Hamiltonian	$\hat{\tilde{H}} = \hat{S}^{-1/2} \hat{H} \hat{S}^{-1/2\dagger}$	Any vectors
Green's function	$\hat{\tilde{G}} = \hat{S}^{1/2\dagger} \hat{G} \hat{S}^{1/2}$	Basis set
Self energy	$\hat{\tilde{\Gamma}} = \hat{S}^{-1/2} \hat{\Gamma} \hat{S}^{-1/2\dagger}$	
Line width	$\tilde{\chi}_n(r) = \sum_n \chi_n(r) [\hat{S}^{-1/2\dagger}]_{n'n}$	
$\hat{\tilde{T}} = \hat{\tilde{G}}_C \hat{\tilde{\Gamma}}_L \hat{\tilde{G}}_C^\dagger \hat{\tilde{\Gamma}}_R = \hat{S}^{1/2\dagger} \hat{G}_C \hat{\Gamma}_L \hat{G}_C^\dagger \hat{\Gamma}_R \hat{S}^{-1/2\dagger}$		Obtain it in the orthogonal basis space
		
$\hat{\tilde{T}}(E) \tilde{t}_i(E)\rangle = t_i(E) \tilde{t}_i(E)\rangle$		Diagonalize in the orthogonal basis space
		
$ t_i\rangle = \hat{S}^{-1/2\dagger} \tilde{t}_i\rangle$		Transform to the non-orthogonal basis space

Overall Procedure

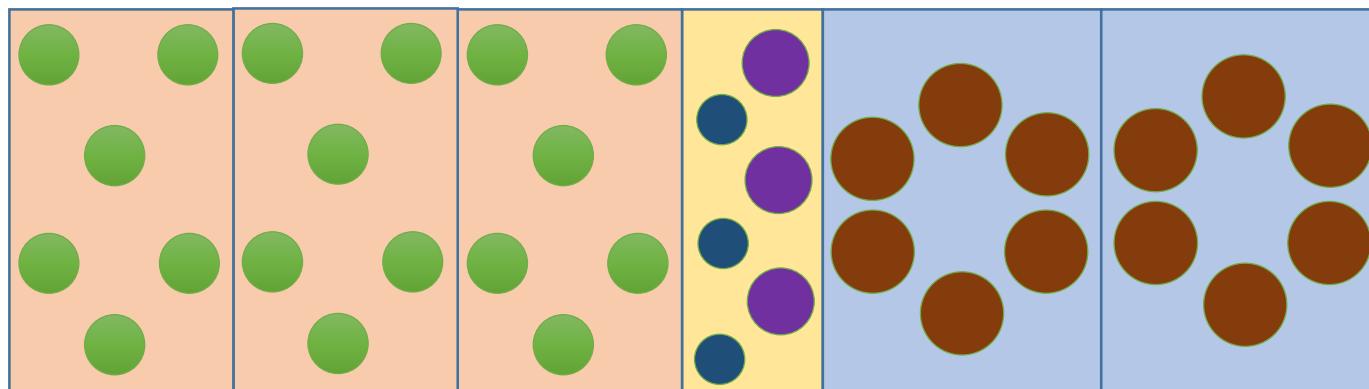
- (1) Compute leads
(periodic system)

With openmx

SCF.EigenvalueSolver Band
NEGF.filename.hks LLLL.hks



- (2) Compute sandwiched device

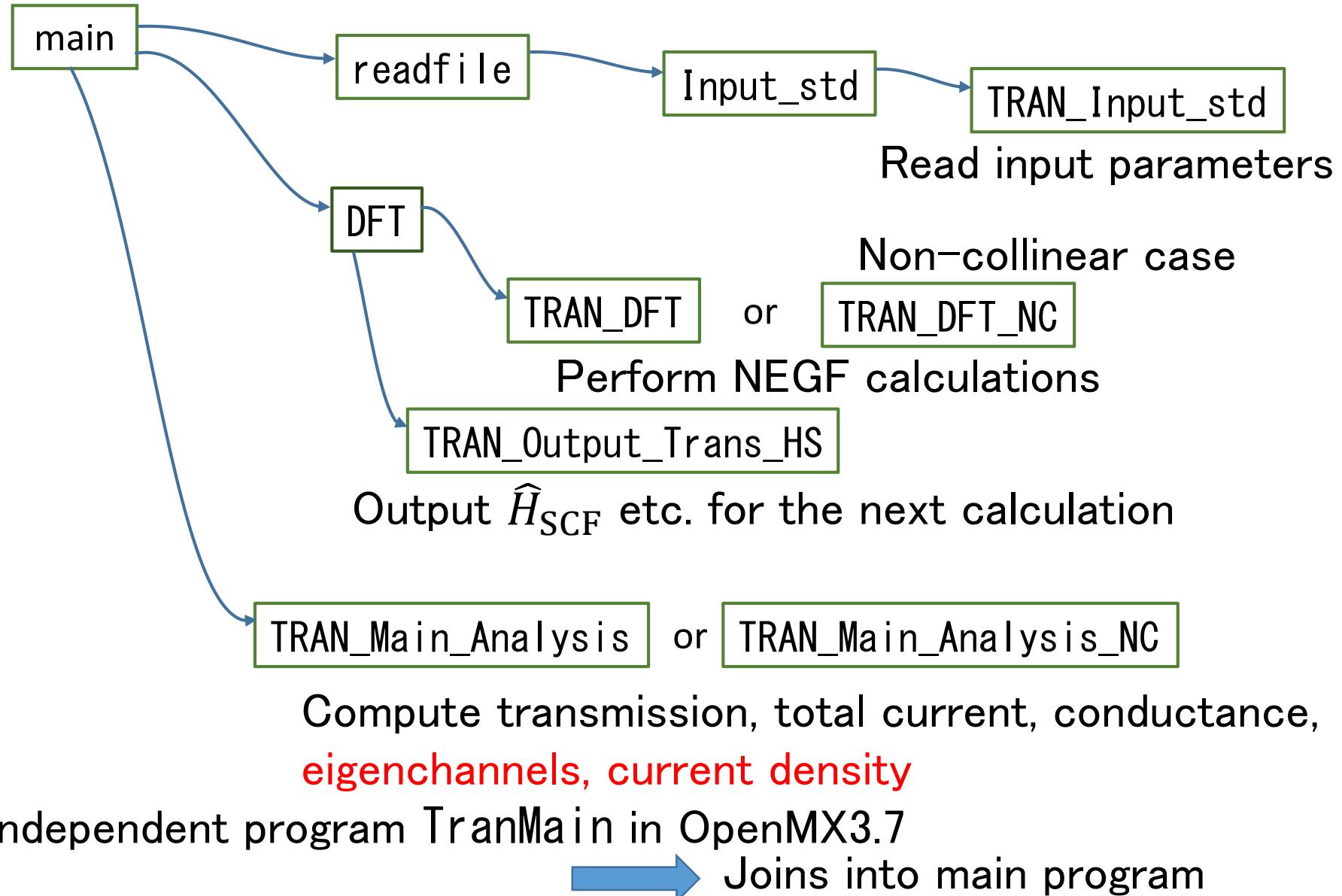


With openmx

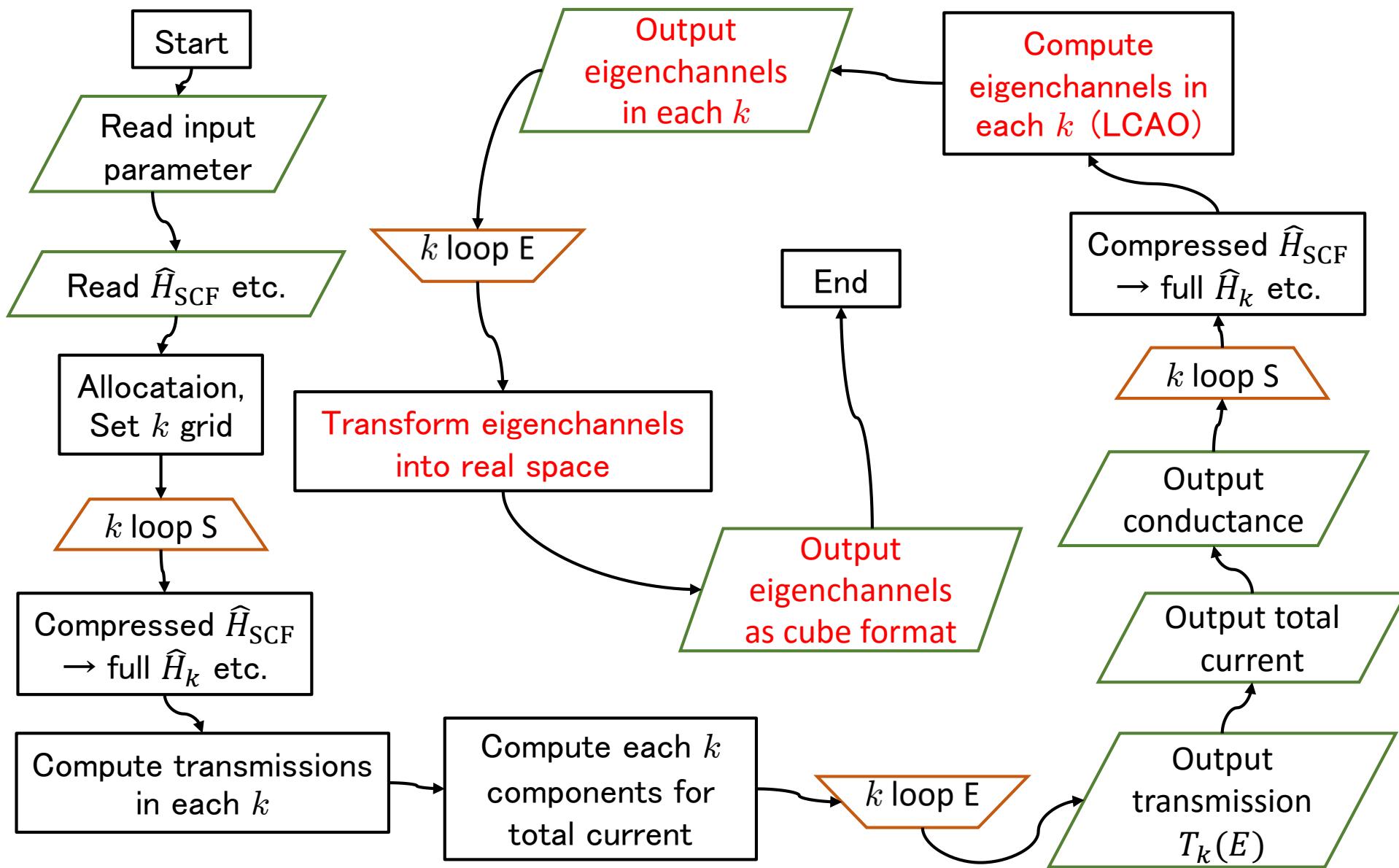
scf.EigenvalueSolver NEGFBand
NEGF.filename.hks.l LLLL.hks
NEGF.filename.hks.r RRRR.hks

Eigenchannels are
computed in the same run

Call graph

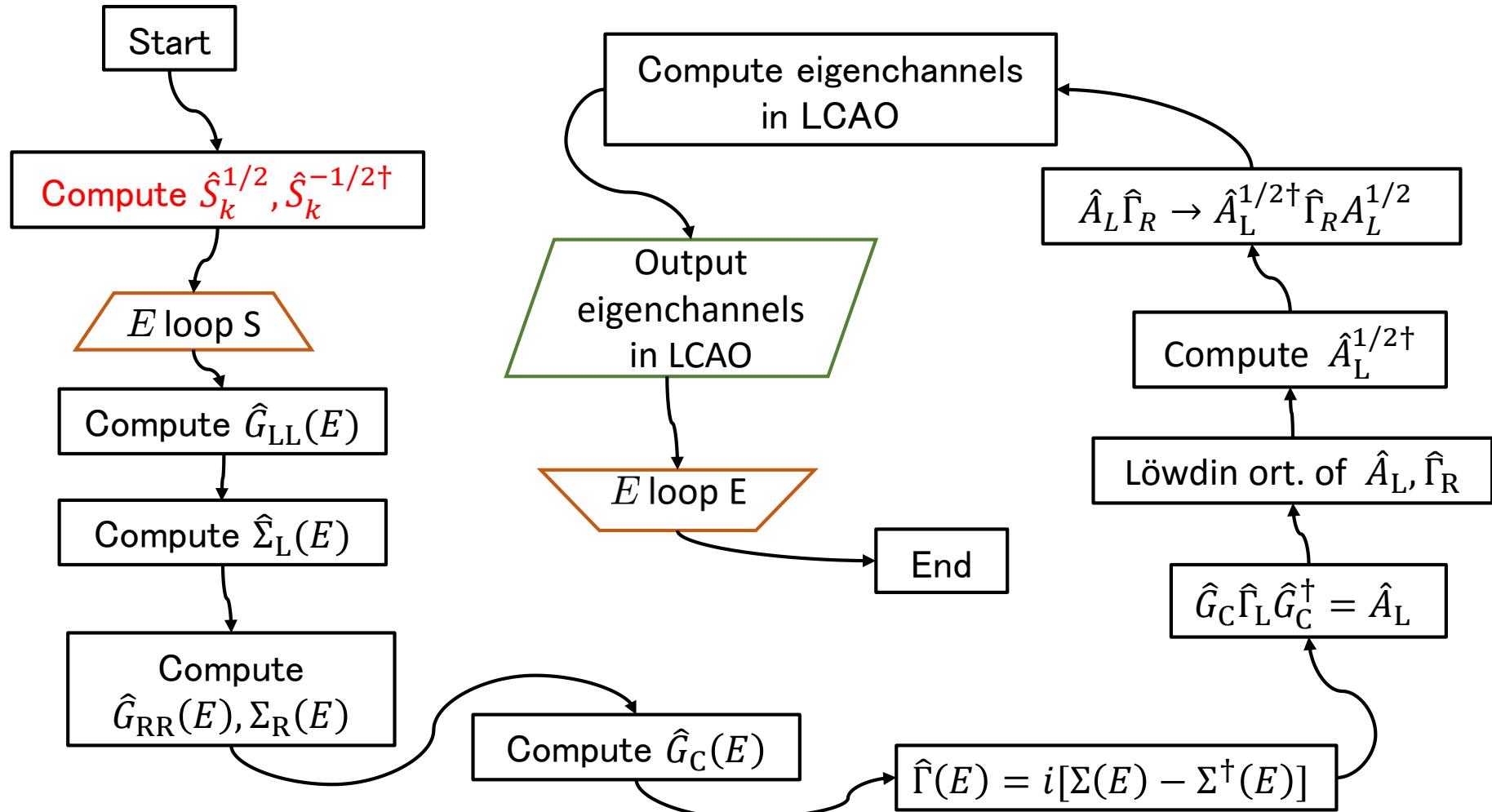


TRAN_Main_Analysis



Detailed flow for eigenchannels

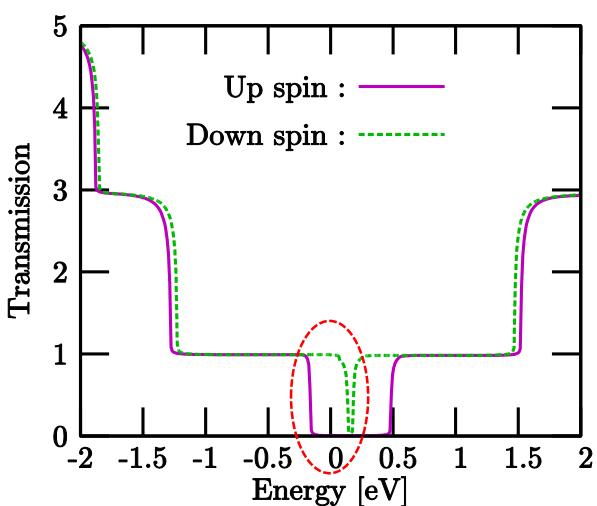
MTRAN_EigenChannel function called by TRAN_Main_Analysis
in k loop



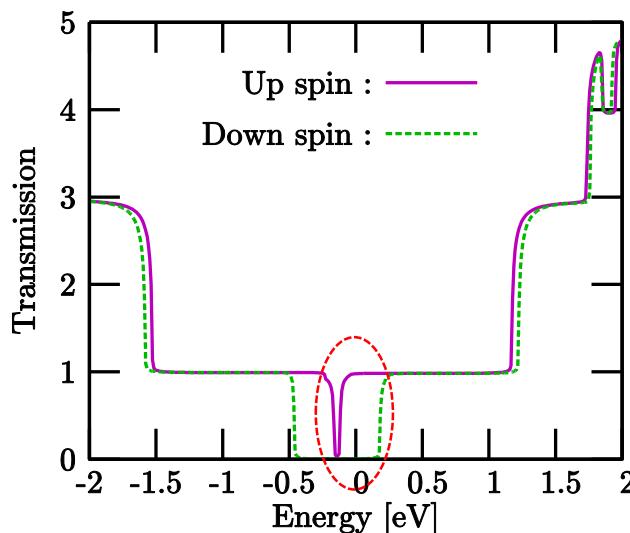
[Examples] 8-Zigzag Graphene Nano Ribbon

T. Ozaki, *et al.*, PRB 81, 075422 (2010).

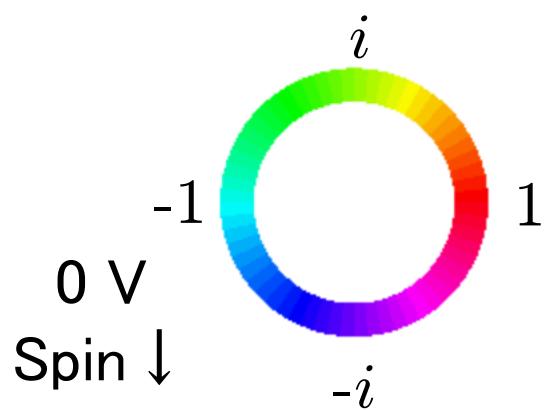
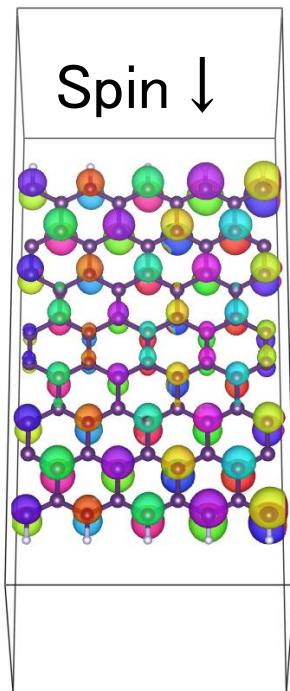
0.3 V
bias



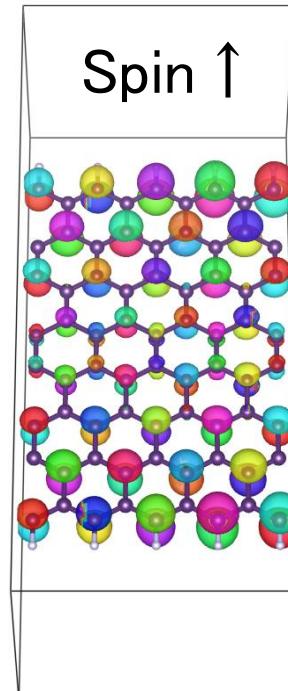
-0.3 V
bias



0.3 V
Spin \uparrow



- Large intensity in edges
- $k=X$ orbitals contribute



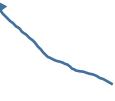
0 V
Spin \downarrow

Formalism for the current density

$$\mathbf{J}_{\text{Loc}}(r, t) = \frac{i}{2} [\psi(r, t) \nabla \psi^*(r, t) - \psi^*(r, t) \nabla \psi(r, t)]$$

Without **non-local potential**  Hybrid functional

With **non-local potential**

 Pseudopotentials

C. Li, L. Wan, Y. Wei, and J. Wang, Nanotechnology **19**, 155401 (2008).

$$i \frac{\partial \psi(r, t)}{\partial t} = \frac{-\nabla^2}{2} \psi(r, t) + \int d^3 r' V(r, r') \psi(r', t) \quad (1)$$

$$\psi^*(r, t) \times (1) - \psi(r, t) \times (1)^* - \frac{\partial \rho(r, t)}{\partial t} = \nabla \cdot \mathbf{J}_{\text{Loc}}(r, t) + \rho_{\text{NLoc}}(r, t)$$

$$\rho_{\text{NLoc}}(r, t) \equiv \int d^3 r' V(r, r') \psi(r', t) \psi^*(r, t) + \text{c. c.}$$

$$\mathbf{J}_{\text{NLoc}}(r, t) \equiv \nabla \cdot \varphi_{\text{NLoc}}(r, t)$$

$$-\frac{\partial \rho(r, t)}{\partial t} = \nabla \cdot [\mathbf{J}_{\text{Loc}}(r, t) + \mathbf{J}_{\text{NLoc}}(r, t)] \quad \nabla^2 \varphi_{\text{NLoc}}(r, t) = \rho_{\text{NLoc}}(r, t)$$

NEGF + Current density

Replace

$$\psi(r, t)\psi^*(r', t) \cdots \rightarrow \int dE \frac{-i}{2\pi} G^<(r, r', E) \cdots$$

$$\hat{G}^< \equiv \hat{G}_C i [\hat{\Gamma}_L f(E - \mu_L) + \hat{\Gamma}_R f(E - \mu_R)] \hat{G}_C^\dagger$$

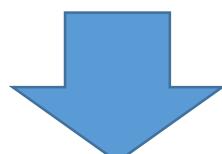
$$J_{\text{Loc}}(r) = \int \frac{dE}{2\pi} [i(\nabla_r - \nabla_{r'}) D_{\text{R}}(r, r', E)]_{r'=r} [f(E - \mu_L) - f(E - \mu_R)]$$



$$\hat{D}_{\text{R}} \equiv \hat{G}_C \hat{\Gamma}_R \hat{G}_C^\dagger$$

$$\sum_{ij} D_{\text{R}}{}_{ij} [\chi_j(r) \nabla \chi_i(r) - \chi_i(r) \nabla \chi_j(r)]$$

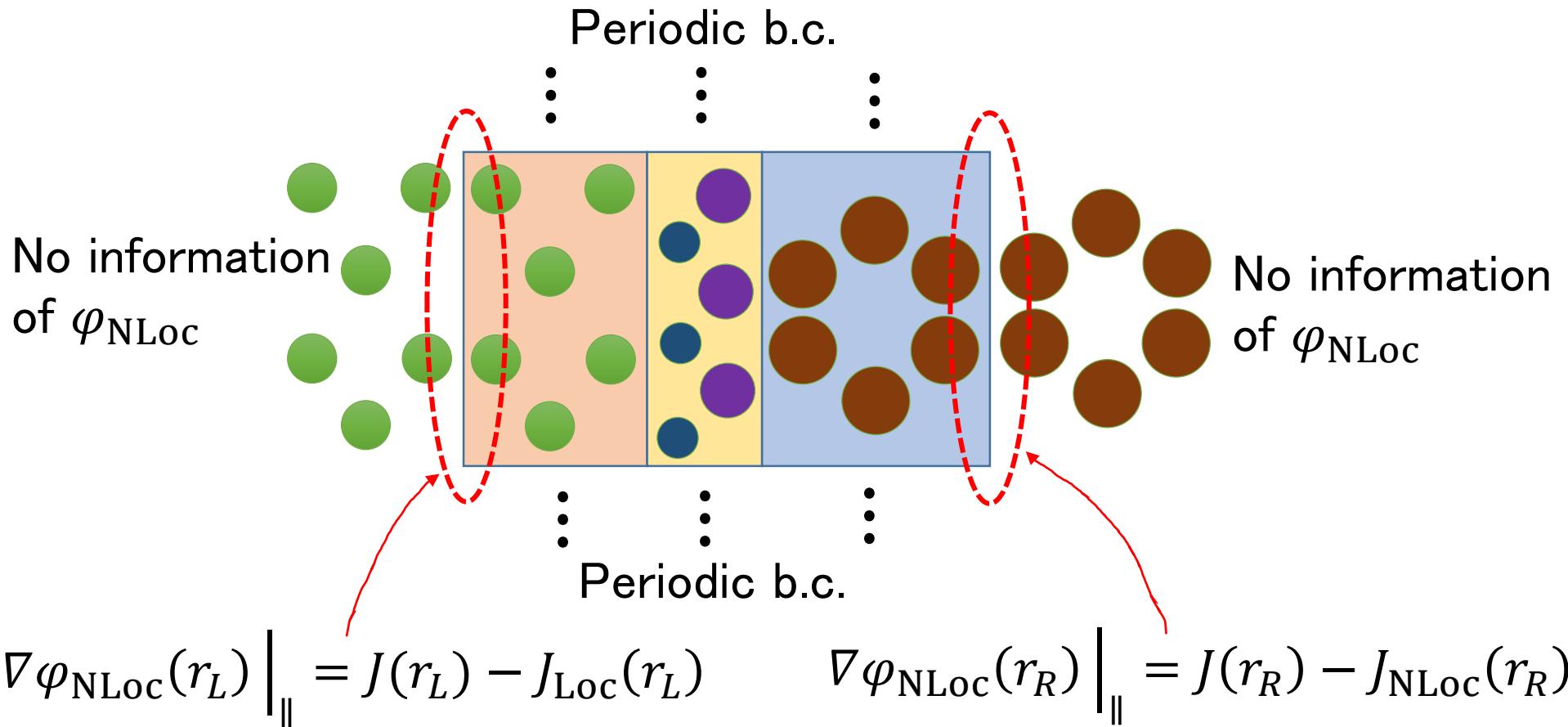
$$\rho_{\text{NLoc}}(r) = - \int \frac{dE}{2\pi} 2\text{Im}[V(r, r') D_{\text{R}}(r, r', E)] [f(E - \mu_L) - f(E - \mu_R)]$$



$$[\hat{S}^{-1} \hat{V} \hat{D}_{\text{R}}]_{ij} \chi_i(r) \chi_j(r)$$

Poisson's eq. for the non-local current

$$\nabla^2 \varphi_{\text{NLoc}}(r) = \rho_{\text{NLoc}}(r) \quad \text{Boundary conditions are required.}$$



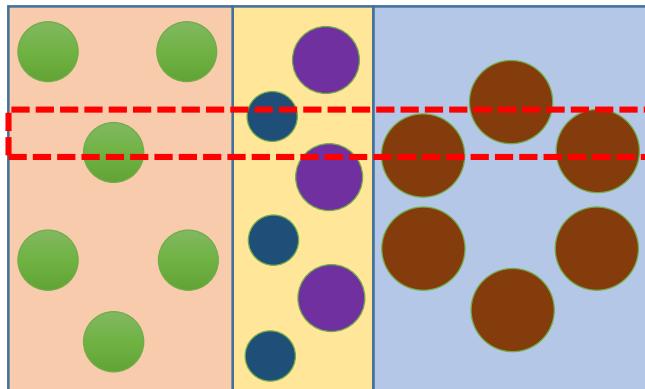
How can we obtain them ?

Approximation for the boundary current

Obtain $J(r_L)$ with a **different way**

$$\int_{LB} d^3r J_{||}(r) = \int \frac{dE}{2\pi} \{f(E - \mu_L) - f(E - \mu_R)\} \text{Tr}[\hat{T}(E)]$$

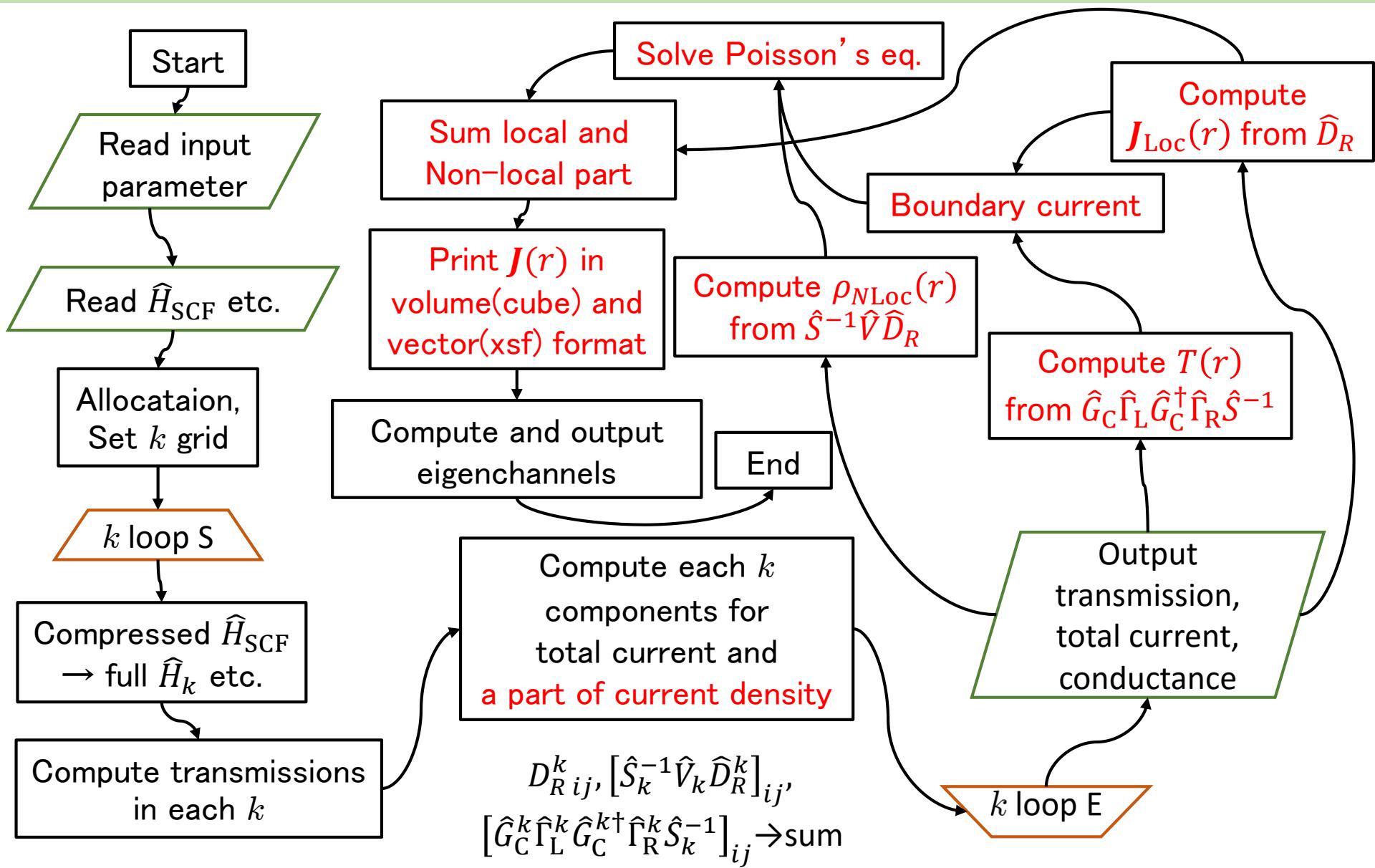
$$J_{||}(r) \approx \int \frac{dE}{2\pi} \{f(E - \mu_L) - f(E - \mu_R)\} \int dr_{||} T(r_{||}, \mathbf{r}_{\perp})$$



Integrate in this region

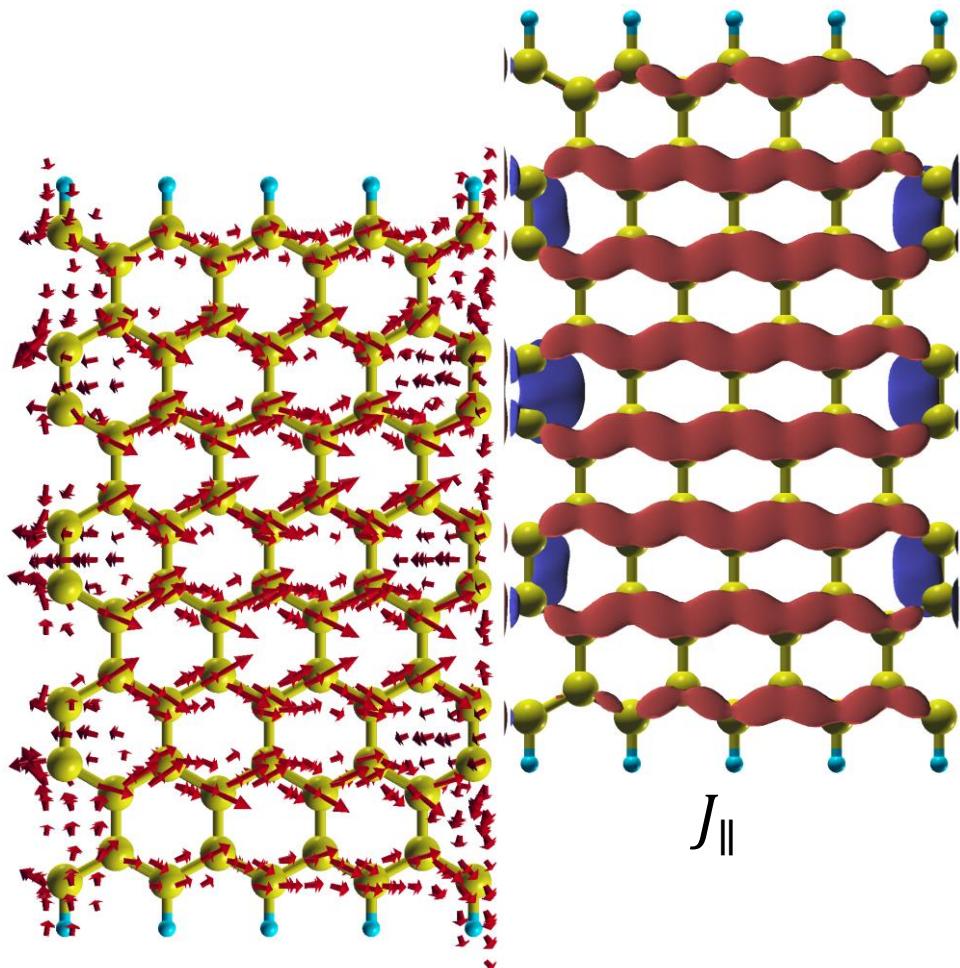
$$T(r) = \sum_{ij} [\hat{G}_C \hat{\Gamma}_L \hat{G}_C^\dagger \hat{\Gamma}_R \hat{S}^{-1}]_{ij} \chi_i(r) \chi_j(r)$$

Implementation in TRAN_Main_Analysis



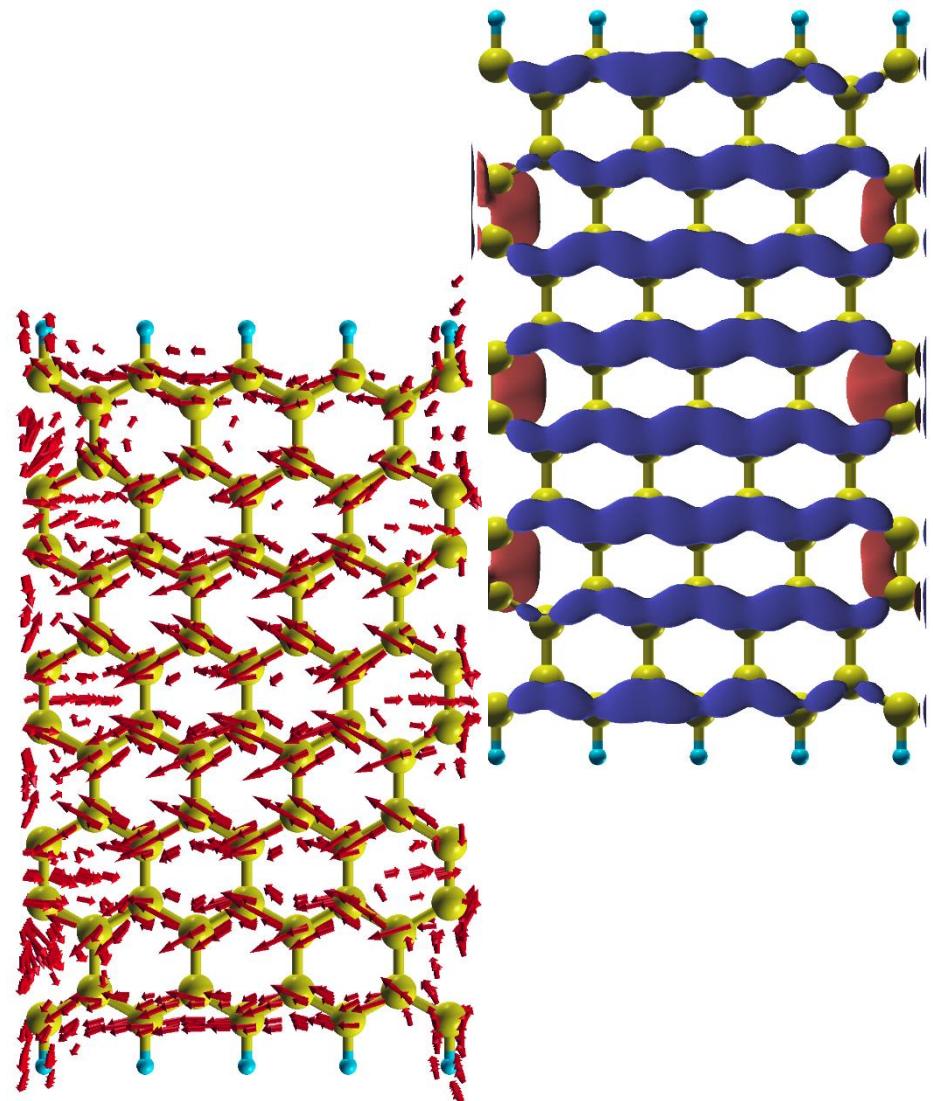
[Example] Zigzag Graphene Nano Ribbon

0.3 V bias



$$J_{\parallel}$$

-0.3 V bias



Summary

- ◆ Implement Eigenchannel analysis and current density in OpenMX to study microscopic, spartial description of any conducting phenomena.
- ◆ They are performed as a post-processes of OpenMX-NEGF calculations
- ◆ Targets
 - ◆ Tunnel (Anisotropic) Magnet-Resistance devices
 - ◆ Graphen Nano Ribbon
 - ◆ Scanning Tunneling Spectroscopy
 - ◆ Etc...