

# Improvement of $J_{ij}$ calculation for extended basis sets having large non-orthogonality: current situation and problem

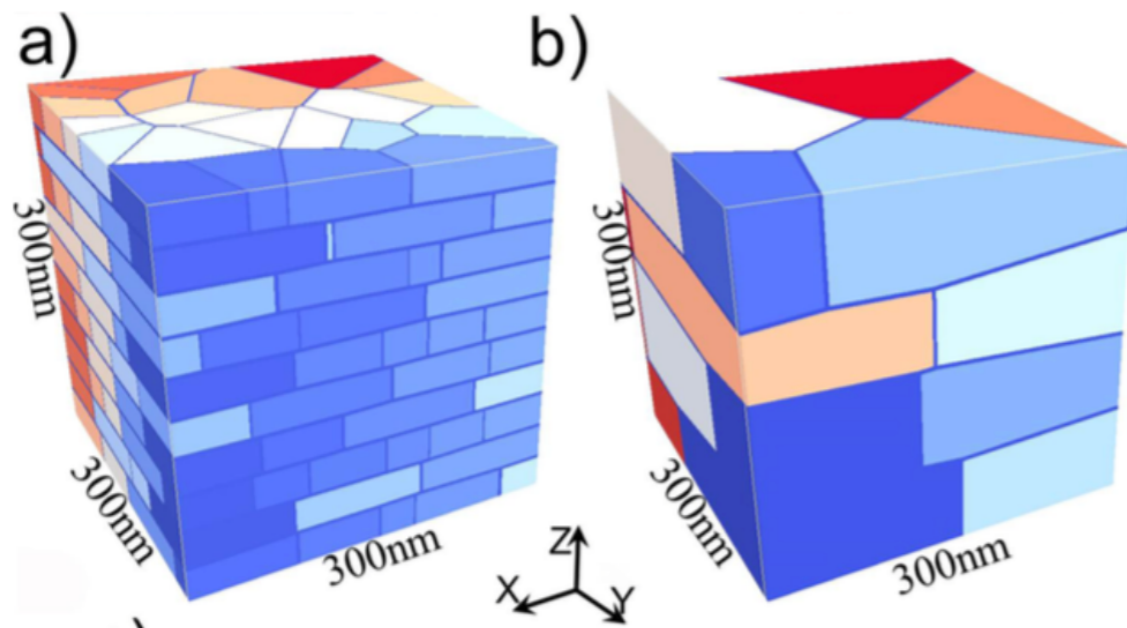
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Technology (RIST)

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# Experimental background: complexity of multi-phase structure of permanent magnet

- To create strong permanent magnets...
  - Main phase: strong ferromagnetism and strong magnetic anisotropy
  - Grain boundary phase: magnetic insulation of main phase grains

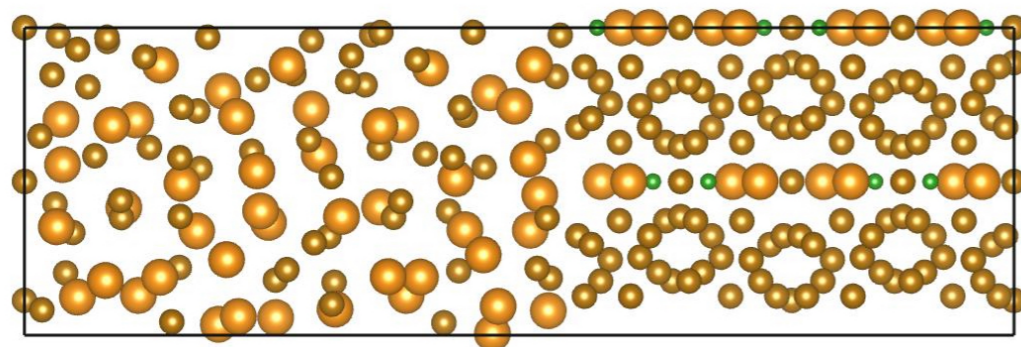


**If not, magnetic reversal easily spread in the presence of demagnetization field**

Magnetic insulation mechanism  
Mat. Trans. **57** (2016), 1221-1229

# Computational study of permanent magnets

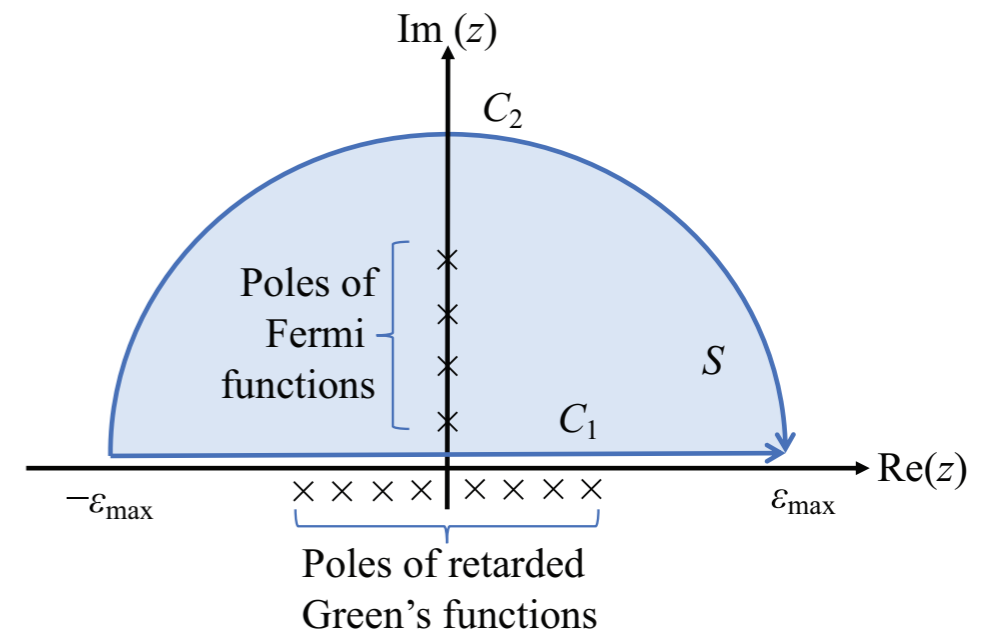
- Calculation of exchange coupling constant  $J_{ij}$ 
  - for systems including **rare earth elements**, e.g. Nd, Sm, Dy
  - for sufficiently large systems that can represent different phases and multi-phase structures of permanent magnets
    - Minimum  $\sim 50$  atoms
    - Maximum  $\sim$  a few hundreds or **a few thousands** of atoms



A bit too large,  
but anyway this cannot be  
attained without development of code

# jx: $J_{ij}$ calculation code for OpenMX post-processing

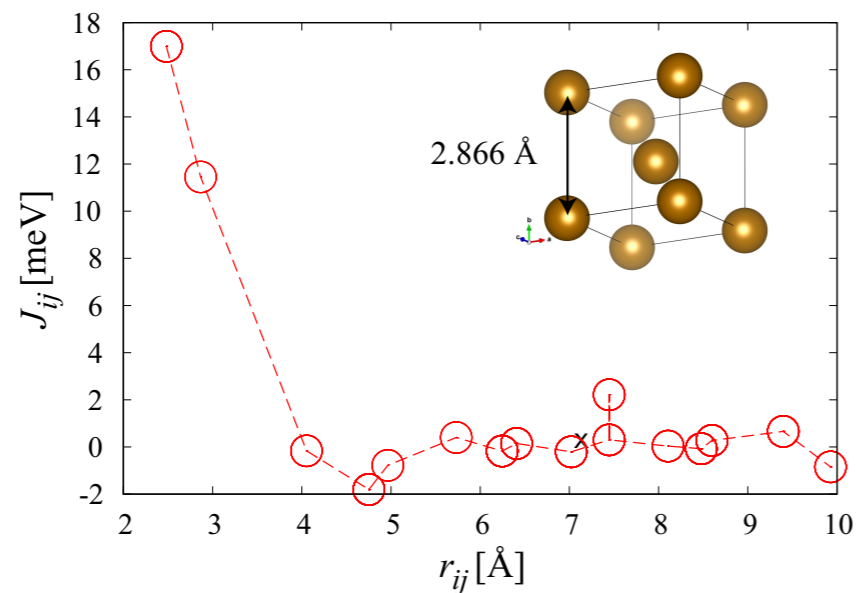
- Post-process calculation of exchange coupling constant  $J_{ij}$  using ground state density and second perturbation theory [J. Magn. Magn. Mat **67**, 65–74 (1987)]
- Applicable for isolated systems and periodic systems
- MPI parallelization for periodic systems
- Efficient algorithm for energy integration
- To use jx, you need to choose relatively small basis set.



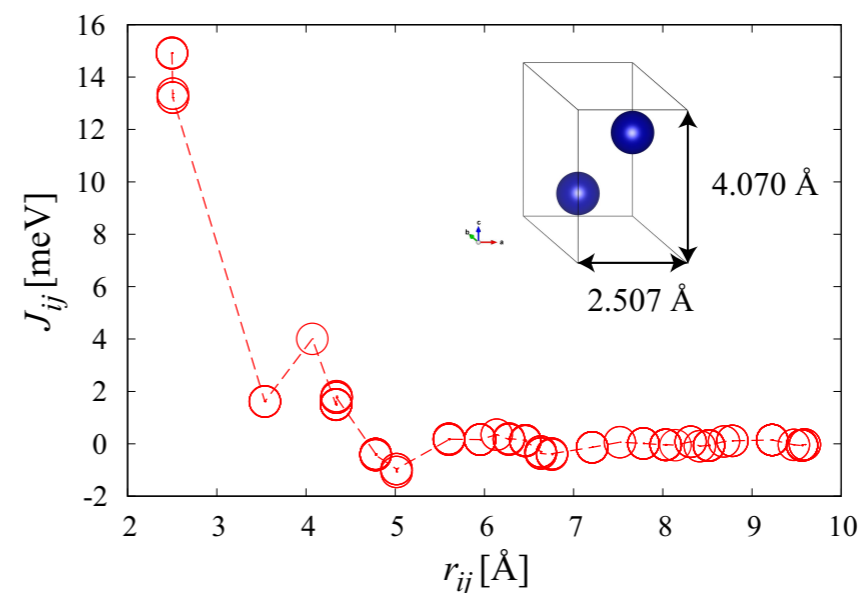
[T. Ozaki, PRB, 75, 035123 (2007)]  
[AT *et al.*, JPSJ 88, 114706 (2019)]

# $J_{ij}$ for transition metals

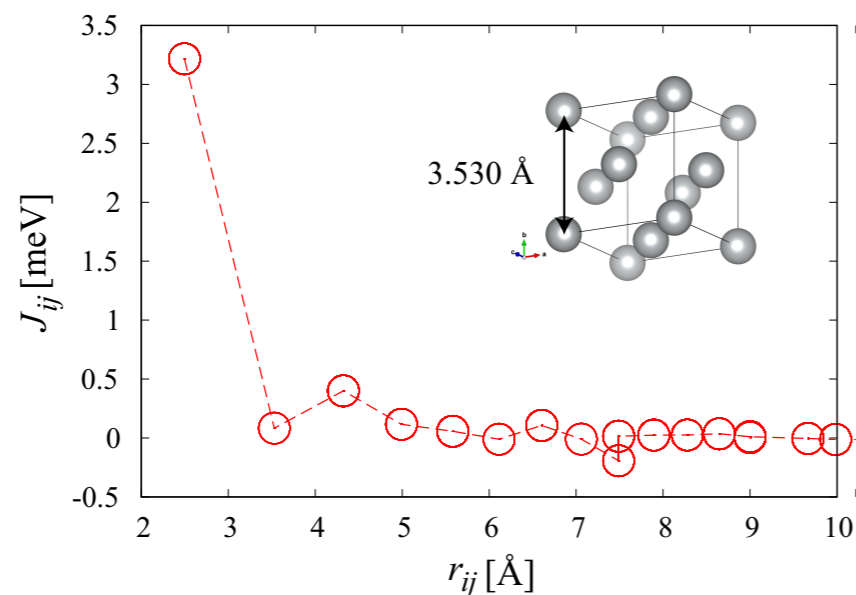
- Fair correspondence with experimental Curie temperature
- 



(a) bcc Fe,  $k$ -grid =  $27 \times 27 \times 27$



(b) hcp Co,  $k$ -grid =  $32 \times 32 \times 20$

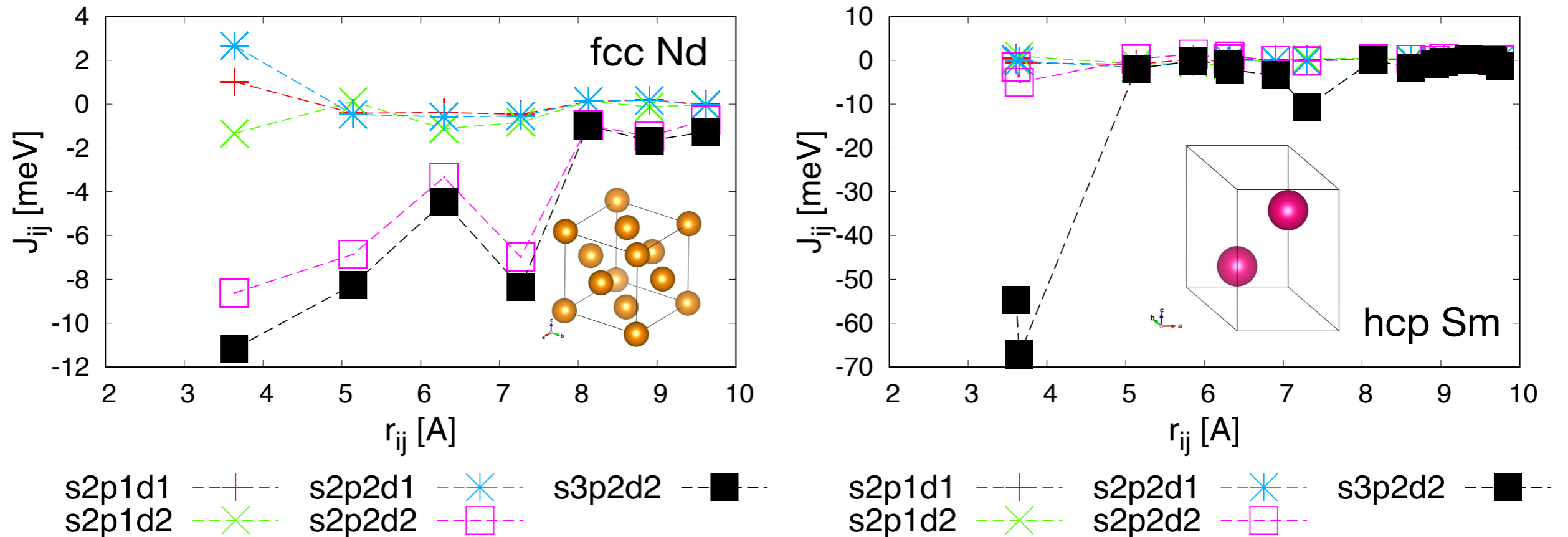


(c) fcc Ni,  $k$ -grid =  $24 \times 24 \times 24$

System	$T_C$ [K]	
	calculated	experimental
bcc Fe	1321	1040
hcp Co	1640	1131
fcc Ni	445	627

XC: GGA-PBE  
PAO: s2p2d2

# $J_{ij}$ for rare earth metals, for various basis sets



- However, jx seems to work bad in calculations of **rare earth metals**...
  - Strong dependence on basis sets in density functional calculation
  - Diverges when choosing large number of basis!

# Problem

- What is the origin of divergence in rare earth metal calculation?
- How can we eliminate it?
- How can we calculate very accurate and converged  $J_{ij}$  for large number of basis?

What is clear is that ...

- Electronic state itself will be converged when choosing very large number of basis
- This problem comes from the calculation method of  $J_{ij}$

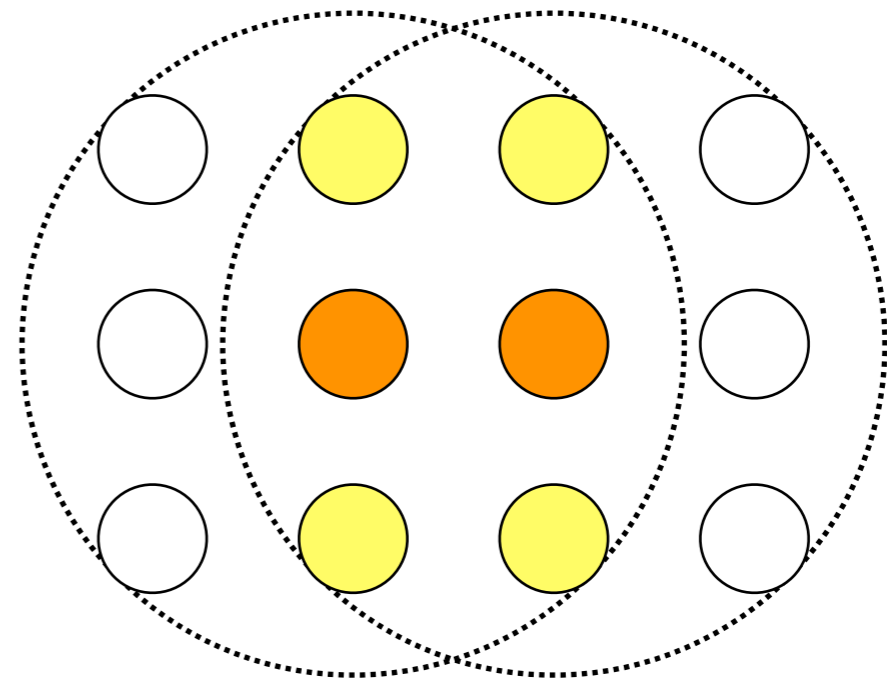
# Non-Orthogonality problem

- Implemented equation to calculate  $J_{ij}$  using Liechtenstein method [J. Magn. Magn. Mat **67**, 65–74 (1987)][AT *et al.*, JPSJ 88, 114706 (2019)]

$$J_{ij} = \frac{1}{2} \sum_{p=1}^{N_P} \tilde{R}_p \sum_{\mu, \nu \in i} \sum_{\mu', \nu' \in j} \left\{ [\hat{P}_i]_{\nu\mu} G_{i\mu, j\nu'}^+(\downarrow, \tilde{z}_p) [\hat{P}_j]_{\nu'\mu'} G_{j\mu', i\nu}^+(\uparrow, \tilde{z}_p) \right\}.$$

Contribution from atomic sites  $i$  and  $j$

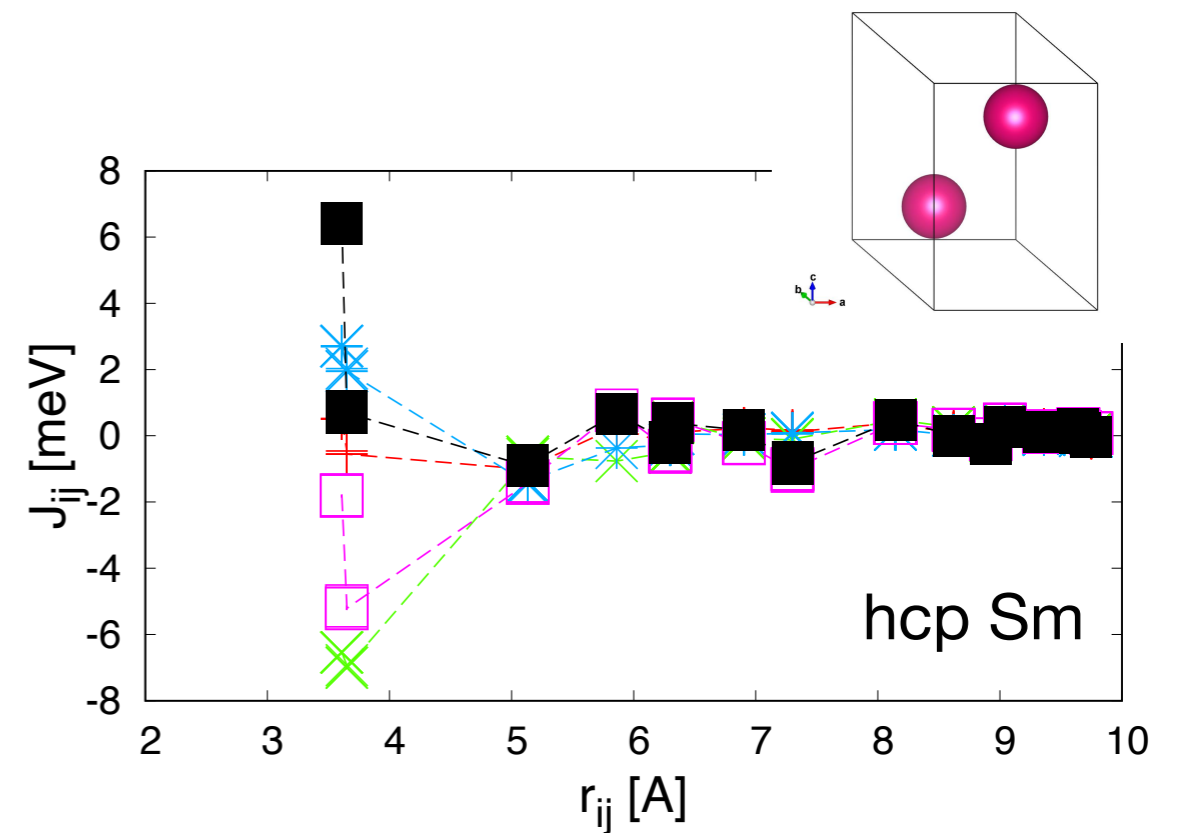
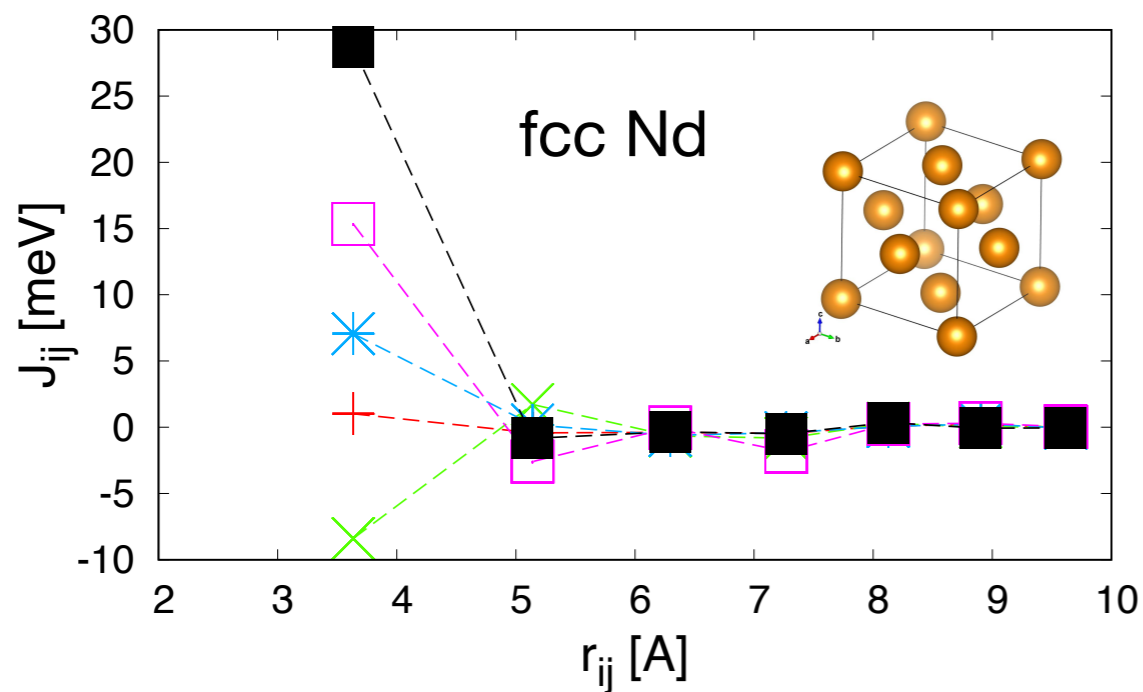
- Currently, in  $P_i$  and  $P_j$ , we just put the difference of diagonal element of Hamiltonian for spin up and down.
- For large basis sets, Atomic sites will be ill-depicted by this definition, because of **large overlap of wide basis functions**





# Atomic sites by valence state

- First, we tried definition of atomic sites by valence state only.
- In this method, we **sum up the contribution of valence state for Liechtenstein calculation**, while using extended basis set for electronic state calculation.



- s2p1d1 ---+---
- s2p1d2 ---x---
- s2p2d1 ---\*---
- s2p2d2 ---□---
- s3p2d2 ---■---

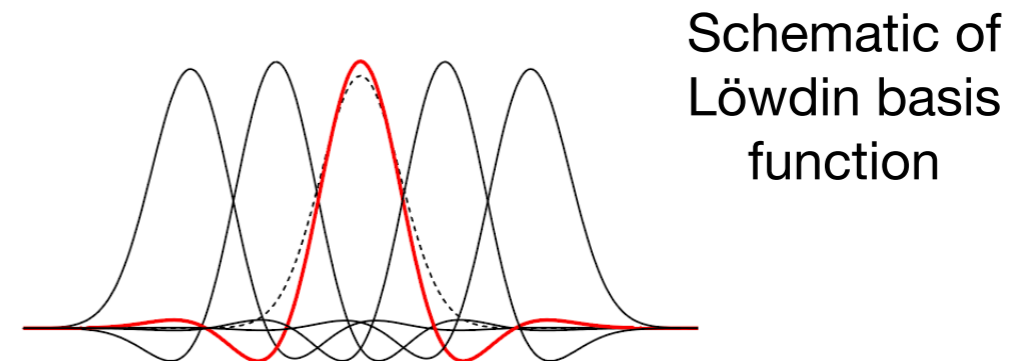
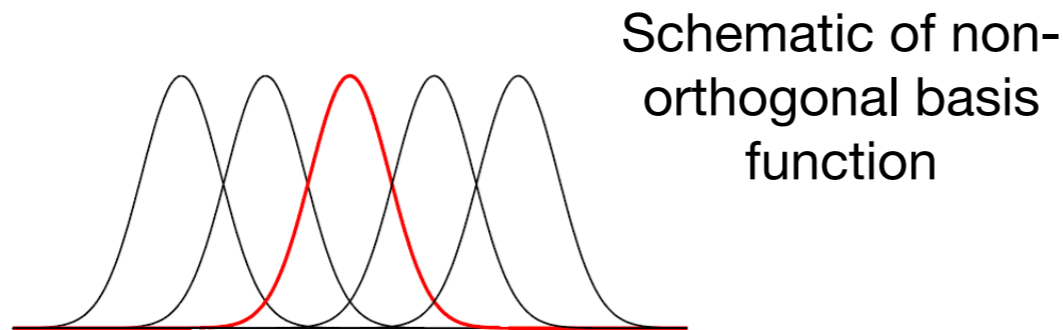
- s2p1d1 ---+---
- s2p1d2 ---x---
- s2p2d1 ---\*---
- s2p2d2 ---□---
- s3p2d2 ---■---

- This didn't solve the problem!
- Strong dependence on basis set for 1st NN

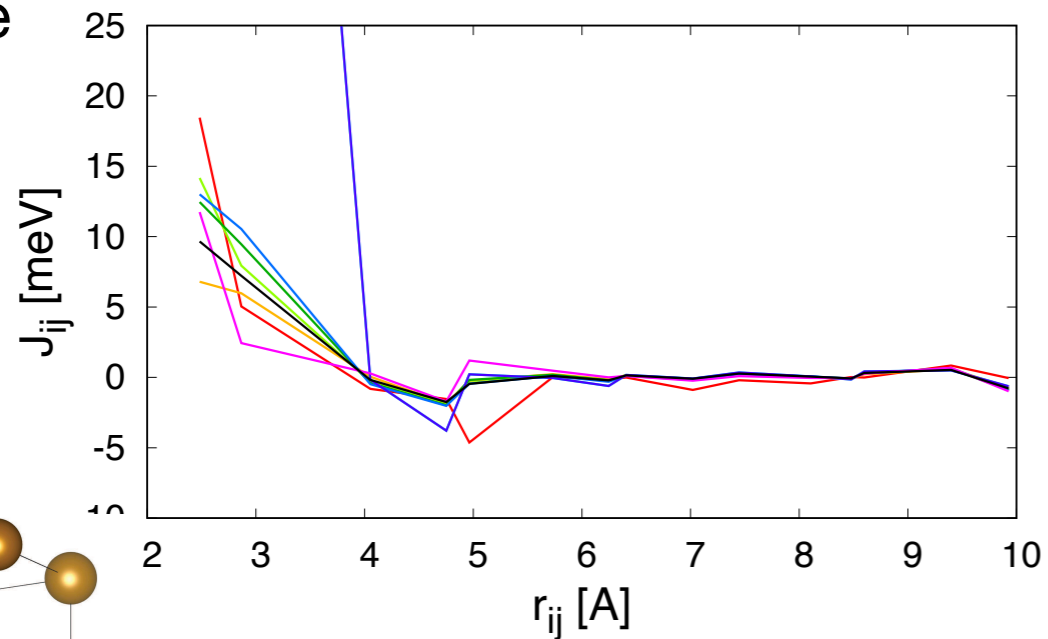
# Orthogonalization of atomic orbitals

- Then, we tried orthogonalized atomic orbitals:

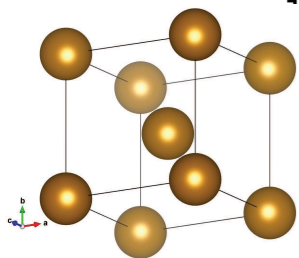
Löwdin orthogonalization (LO)  $|i\rangle^{(LO)} \equiv \sum_{j'} |j'\rangle \mathbf{S}^{-1/2} |j'i\rangle.$



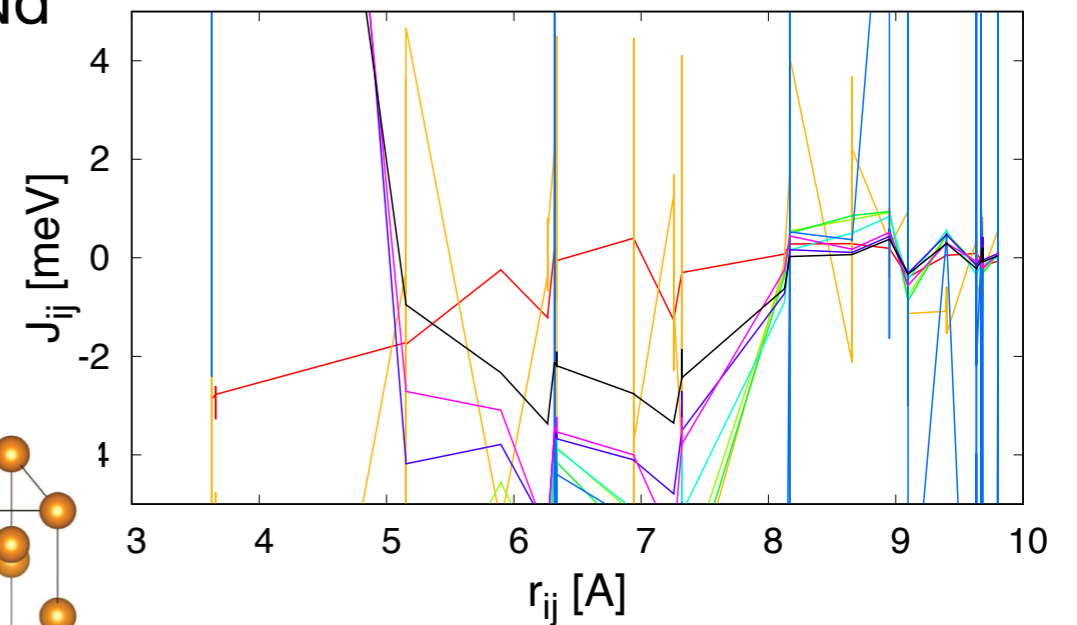
bcc Fe



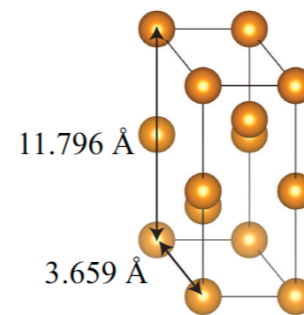
s2p1d1	—	s3p2d2	—	s3p3d2f1	—
s2p2d1	—	s3p2d2f1	—	s3p3d3	—
s2p2d2	—	s3p3d2	—	s3p3d3f1	—



dhcp Nd



s2p1d1	—	s3p2d2	—	s3p2d3f1	—
s2p1d2	—	s3p2d2f1	—	s3p3d3	—
s2p2d2	—	s3p2d3	—	s3p3d3f1	—



**Do not converge at all!**

# Orthogonalization of atomic orbitals

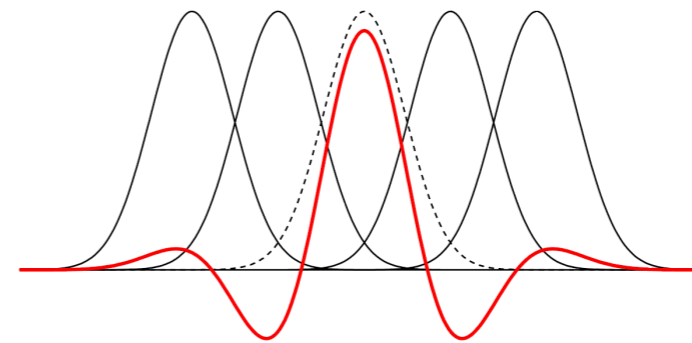
- We also tried another orthogonalization method:

Single-site orthogonalization (SO)

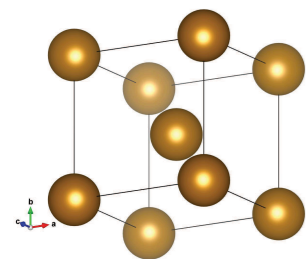
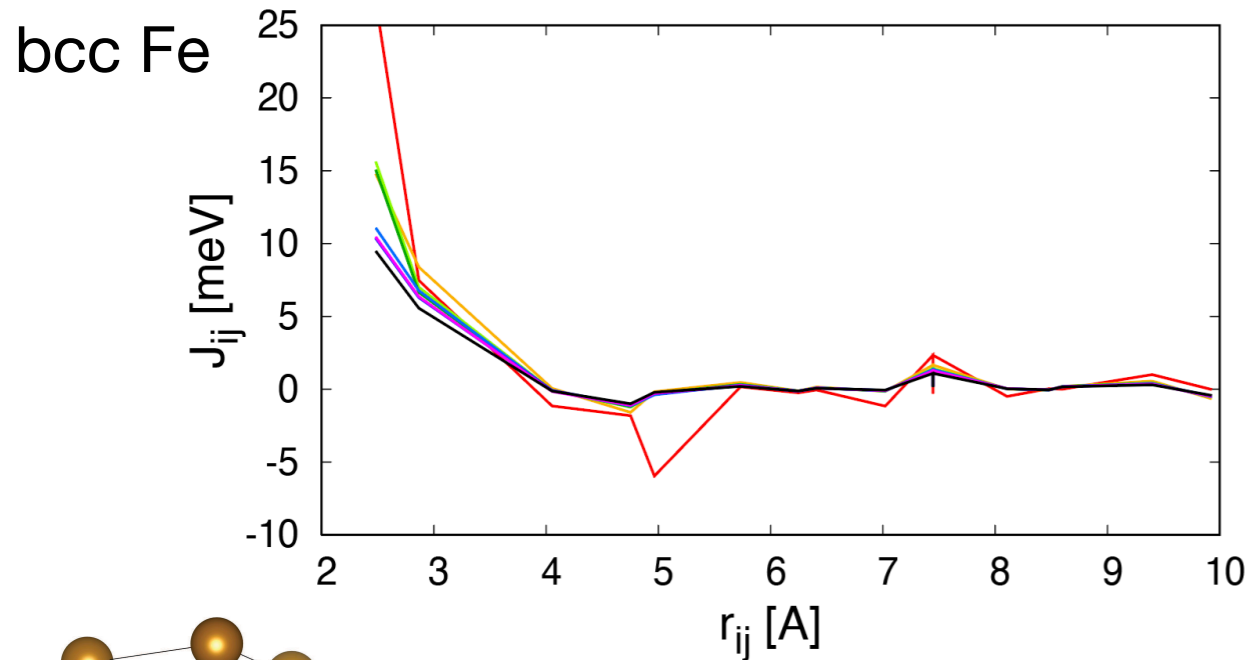
$$|i\rangle_i^{(\text{SO})} \equiv |i\rangle - \sum_{j' \neq i} \sum_{j'' \neq i} |j'\rangle \mathbf{S}_{i,i}^{-1} |j'j''\rangle \langle j''|i\rangle$$

$$|j\rangle_i^{(\text{SO})} \equiv |j\rangle, \quad j \neq i,$$

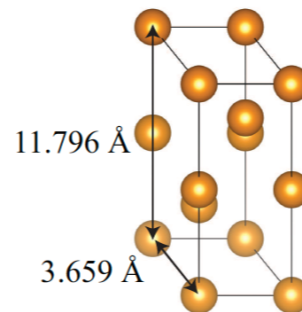
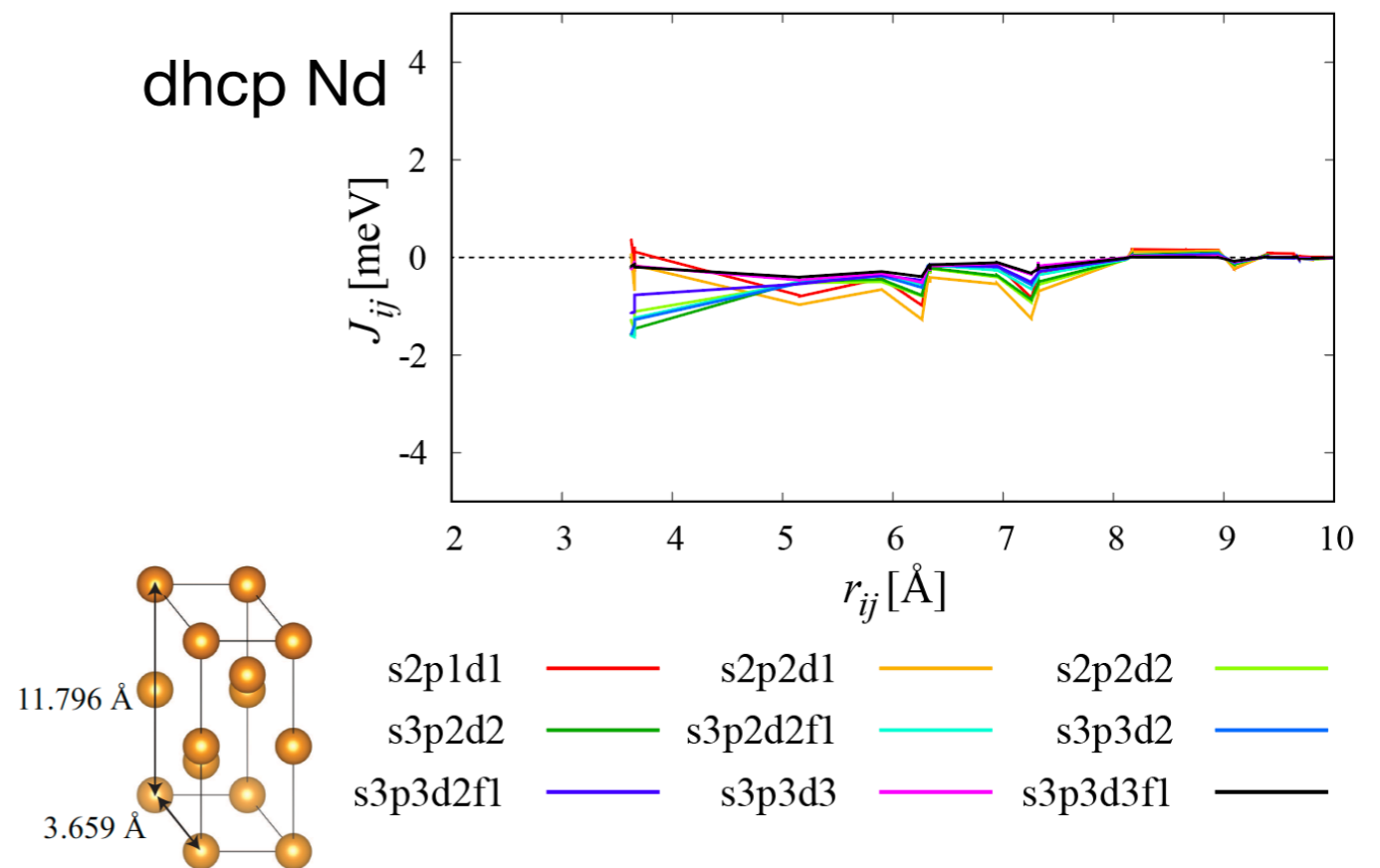
$\mathbf{S}_{i,i}^{-1}$  : Submatrix of overlap matrix for  $i$ -site element



Schematic of single-site orthogonalized basis function



s2p1d1	—	s3p2d2	—	s3p3d2f1	—
s2p2d1	—	s3p2d2f1	—	s3p3d3	—
s2p2d2	—	s3p3d2	—	s3p3d3f1	—



s2p1d1	—	s2p2d1	—	s2p2d2	—
s3p2d2	—	s3p2d2f1	—	s3p3d2	—
s3p3d2f1	—	s3p3d3	—	s3p3d3f1	—

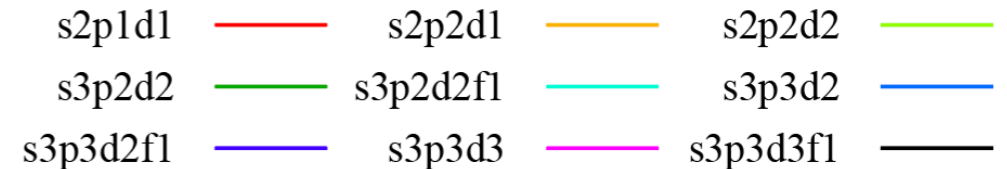
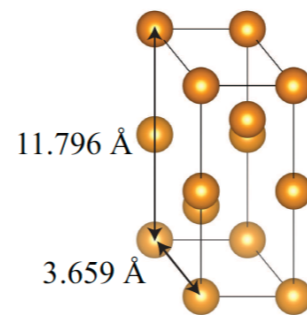
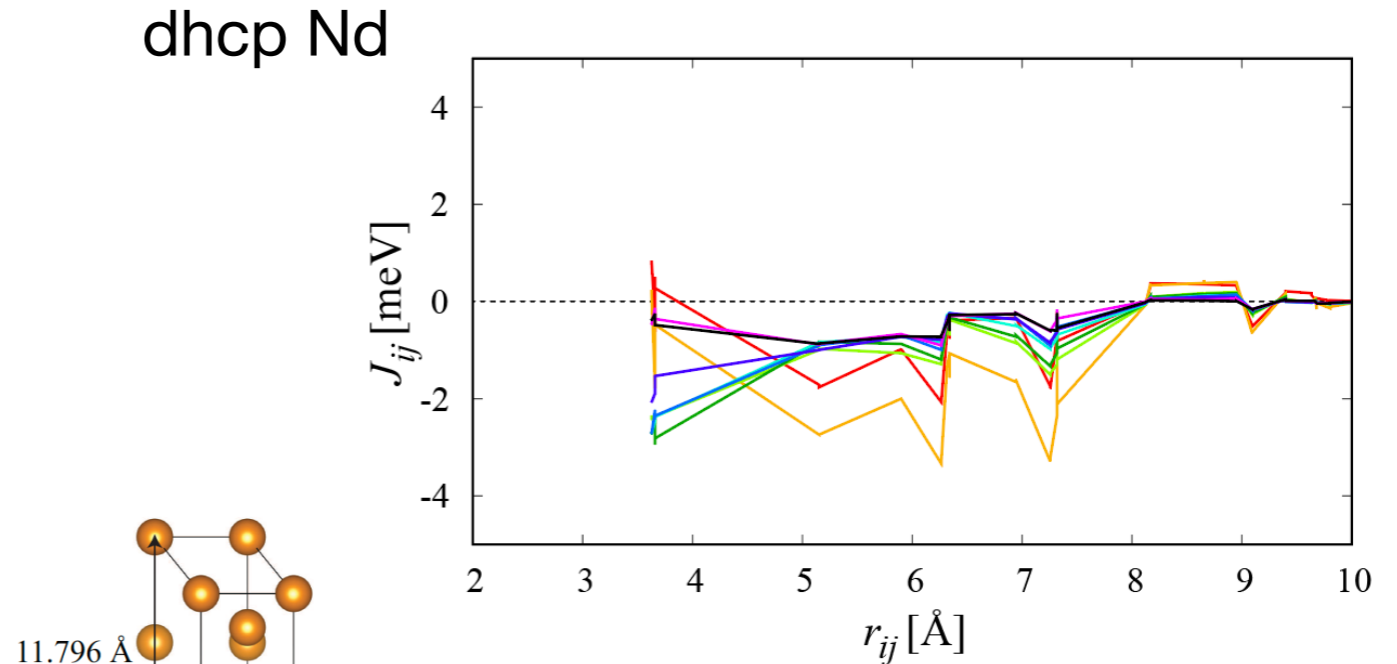
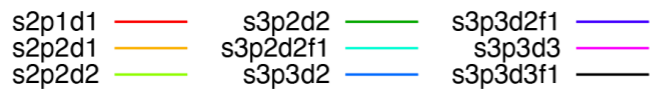
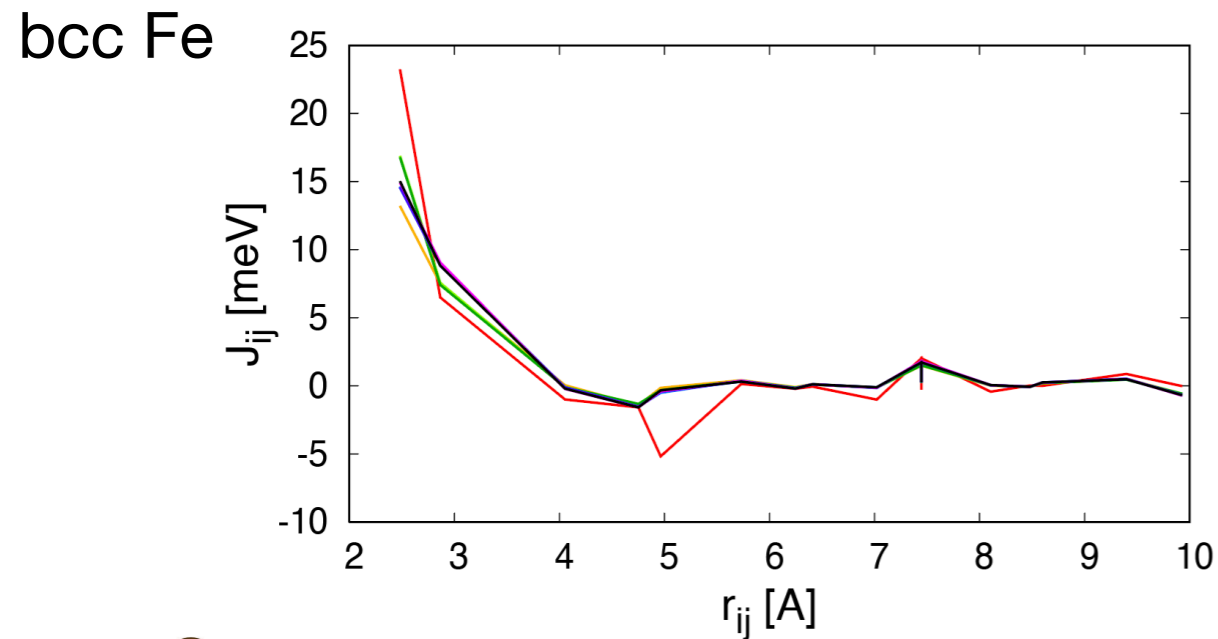
**Become slightly smaller as increasing the number of basis functions**

# Spin population scaling

- Because it turns out that the SO basis underestimate the spin population slightly, we tried spin-population scaling for SO results

Spin-population scaling

$$J_{ij}^{(\text{SOS})} = \frac{\Delta n_i}{\Delta n_j^{(\text{SO})}} \frac{\Delta n_j}{\Delta n_j^{(\text{SO})}} J_{ij}^{(\text{SO})}$$



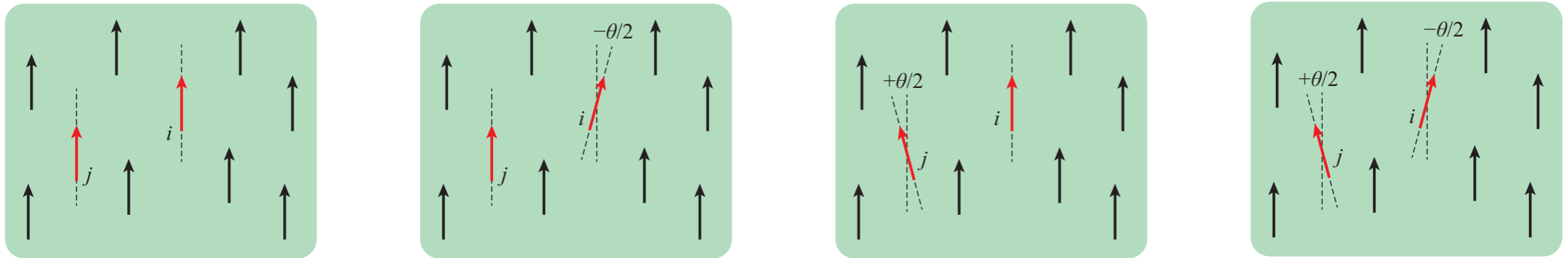
Good convergence, but physical meaning?

# Discussion

- jx: fails when taking large number of basis functions
- Redefinition of atomic sites by valence state only
  - Works bad for rare earth metals, particularly when the number of basis function is very large.
- Redefinition of atomic sites by orthogonalized orbitals
  - Löwdin orthogonalization scheme does not converge  $J_{ij}$  at all.
  - Single-site orthogonalization scheme underestimates the spin population slightly, and it affects the calculated value of  $J_{ij}$ .
  - Although the convergence can be improved by spin population scaling, it makes the physical meaning of calculated values unclear.

# Discussion

- Physically-meaningful definition of atomic sites?



- Because Liechtenstein method is based on Heisenberg model of localized spins, we need to define atomic sites by the spin rotation on the atoms.
- How does it rotate? ...
- Definition of atomic sites by its electronic occupation
  - We should try (or, have tried) Wannier functions...

# Future works

- Implementation of closest Wannier functions to a given set of localized orbitals
- <https://arxiv.org/abs/2306.15296>

$$\rho_{\mathbf{R}g, \mathbf{R}'g'} = (\tilde{\chi}_{\mathbf{R}g} | \hat{P} | \tilde{\chi}_{\mathbf{R}'g'}),$$

$$S_{\mathbf{R}g, \mathbf{R}'g'} = (\chi_{\mathbf{R}g} | \chi_{\mathbf{R}'g'}).$$

$$\Lambda_g = \sum_{\mathbf{R}g'} \rho_{\mathbf{0}g, \mathbf{R}g'} S_{\mathbf{R}g', \mathbf{0}g}$$

$$\Lambda_g^\dagger \Lambda_g = Y_g \Omega_g^2 Y_g^\dagger,$$

$$|\bar{y}_{g,\nu}\rangle = \frac{|y_{g,\nu}\rangle}{\sqrt{\langle y_{g,\nu} | S_{\mathbf{0}g, \mathbf{0}g} | y_{g,\nu} \rangle}},$$

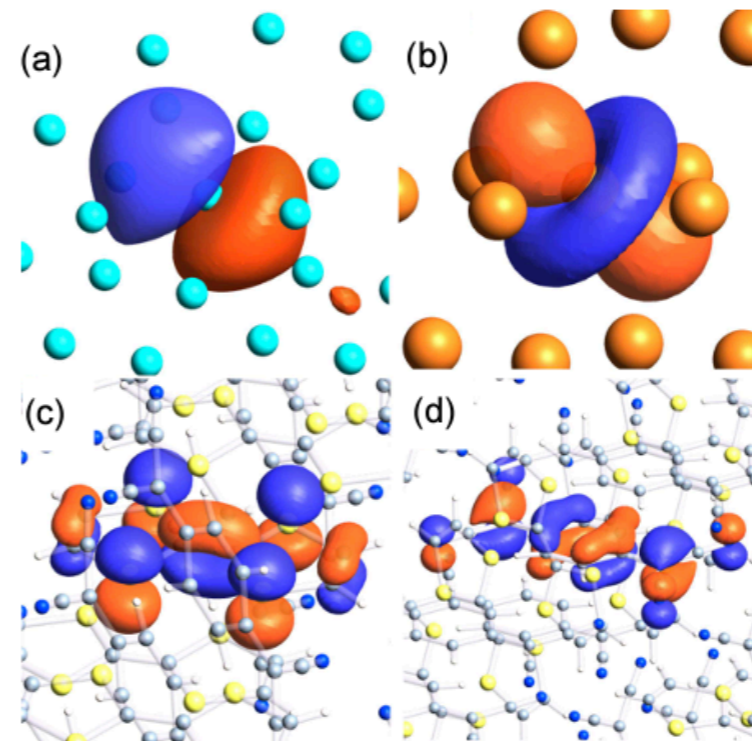


FIG. 3. CWFs for (a) Si, (b) Cu, (c) TTF in TTF-TCNQ, and (d) TCNQ in TTF-TCNQ. In all the cases, isovalues of  $\pm 0.04$  (orange:0.04, blue:-0.04) are used for drawing the iso-surfaces using OpenMX Viewer [33]. The computational conditions for (a), (b), (c), and (d) are the same as those in Fig. 2 (b), Fig. 4 (a), Fig. 5 (b), and Fig. 5 (b), respectively.

**We then should make our way by just, simply coding.**